

## MEMORANDUM

TO: File No. S7-26-22

FROM: Y. Rachel Kuo, Senior Counsel, Division of Investment Management

RE: Meeting with representatives of the Securities Industry and Financial Markets Association's Asset Management Group

DATE: March 29, 2023

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On March 29, 2023, staff from the U.S. Securities and Exchange Commission (the "SEC") met with representatives of the Securities Industry and Financial Markets Association's Asset Management Group ("SIFMA AMG").

Participants included SEC staff from the Division of Investment Management: Sarah ten Siethoff (Deputy Director), Brian Johnson (Assistant Director), Michelle Beck (Senior Financial Analyst), Angela Mokodean (Branch Chief), Mykaila DeLesDernier (Senior Counsel), Y. Rachel Kuo (Senior Counsel), Nathan Schuur (Senior Counsel); and the Division of Economic and Risk Analysis: James McLoughlin (Financial Economist), Dasha Safonova (Financial Economist).

Representatives of SIFMA AMG included the following:

- Kevin Ehrlich
- Lindsey Keljo
- Joseph Seidel
- Elizabeth Gilligan
- Paul Cellupica
- Josh Ratner
- Jon Siegel
- Zamir Klinger
- Arthur Leiz
- Marc Moran
- Jill Damon
- Patrick Castellani
- Guido Faltoni
- Chris Edge
- Sonia Kurian
- Brad Wilson
- Parul Agrawal
- Charles Thomas
- Jane Heinrichs
- Emily Wilson
- Sara Martin

- Jason O'Connell
- Donald Caiazza
- Chris Maher
- Chris Cafiero

The participants discussed the Commission's proposal titled *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting* [Release Nos. 33-11130; IC-34746].