

MEMORANDUM

TO: File No. S7-26-22

FROM: Marc Sharma, Assistant Director and Chief Counsel  
Office of the Investor Advocate

DATE: March 28, 2023

RE: Virtual Meeting with Representatives of the Insured Retirement Institute (IRI)

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On March 28, 2023, Cristina Martin Firvida, Investor Advocate, Marc Sharma, Assistant Director and Chief Counsel, and Adam Anicich, Investor Engagement Manager, of the Office of the Investor Advocate, met with the following representatives of IRI:

- Jason Berkowitz, Chief Legal & Regulatory Officer; and
- Emily Micale, Director, Federal Regulatory Affairs.

The participants discussed, among other topics, the Commission's rule proposal entitled *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting* [Release Nos. 33-11130; IC-34746].