

MEMORANDUM

March 24, 2023

TO: File Nos. S7-10-22; S7-26-22

FROM: Holly Hunter-Ceci
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Stradley Ronon Stevens & Young LLP
("Stradley"); Franklin Templeton; Guidestone; and Nationwide

On March 21, 2023, Commissioner Mark T. Uyeda and his counsels, Holly Hunter-Ceci and Steven B. Levine, met with the following:

- George Hoyt, Associate General Counsel, Franklin Templeton
- Stephen Rimes, General Counsel, Nationwide
- Matt Wolfe, Managing Director, Chief Compliance Officer and Chief Legal Officer, Guidestone
- Sara Crovitz, Partner, Stradley
- David Grim, Partner, Stradley
- Bruce Leto, Partner, Stradley
- Michael Mundt, Partner, Stradley
- Prufesh Modhera, Partner, Stradley
- Eric Purple, Partner, Stradley

Topics included (i) the open-end fund liquidity proposal as discussed in each of their comment letters, (ii) issues regarding the applicability of the climate-related disclosures proposal as to life insurance companies issuing registered non-variable insurance contracts as further described in the American Council of Life Insurers' comment letter, and (iii) more broadly, the challenges faced by smaller advisers regarding the Commission's rulemaking agenda, among other issues.