

## MEMORANDUM

TO: File No. S7-26-22  
FROM: Y. Rachel Kuo, Senior Counsel, Division of Investment Management  
RE: Meeting with representatives of Allspring  
DATE: March 14, 2023

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On March 14, 2023, staff from the U.S. Securities and Exchange Commission (the “SEC”) met with representatives of Allspring Global Investments and the Allspring Funds (together, “Allspring”).

Participants included SEC staff from the Division of Investment Management: Sarah ten Siethoff (Deputy Director), Michelle Beck (Senior Financial Analyst), Holly Miller (Senior Financial Analyst), Angela Mokodean (Branch Chief), Mykaila DeLesDernier (Senior Counsel), Y. Rachel Kuo (Senior Counsel), Quinn Kane (Senior Counsel); and the Division of Economic and Risk Analysis: Dasha Safonova (Financial Economist).

Representatives from the Allspring included the following:

- Andrew Owen;
- Peter Speidel;
- Christopher Rohrbacher; and
- Matt Prasse.

The participants discussed the Commission’s proposal titled *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting* [Release Nos. 33-11130; IC-34746].