

MEMORANDUM

TO: File No. S7-26-22
FROM: Quinn Kane, Senior Counsel, Division of Investment Management
RE: Virtual meeting with representatives of TIAA
DATE: March 13, 2023

On March 13, 2023, staff from the U.S. Securities and Exchange Commission (the “SEC”) participated in a videoconference with representatives of Teachers Insurance and Annuity Association of America (“TIAA”) and its wholly-owned subsidiary Nuveen, LLC (“Nuveen”).

Participants included SEC staff from the Division of Investment Management: Michelle Beck (Senior Special Counsel), Holly Miller (Senior Special Counsel), Angela Mokodean (Branch Chief), Y. Rachel Kuo (Senior Counsel), and Quinn Kane (Senior Counsel); and the Division of Economic and Risk Analysis: Dasha Safonova (Financial Economist).

Representatives from TIAA and Nuveen included:

- Megan Duffy, TIAA
- Eric May, TIAA
- Wayne McClain, TIAA
- Aneal Krishnamurthy, TIAA
- Samantha Walsh, TIAA
- Chris Peterson, TIAA
- Marc Cardella, Nuveen
- John McCann, Nuveen
- Jennifer Johnson, Nuveen

The participants discussed the Commission’s proposal titled *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting* [Release Nos. 33-11130; IC-34746].