

To: File No.: S7-26-22  
From: Office of Public Engagement  
Date: 3/8/23  
Re: Meeting with J.P. Morgan

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On March 8, 2023, Chair Gensler and SEC staff met with representatives from J.P. Morgan to discuss matters related to the Open-End Fund Liquidity Risk Management Programs and Swing Pricing proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Sarah ten Siethoff, Deputy Director of the Division of Investment Management

Representatives from J.P. Morgan included:

- George Gatch, Chief Executive Officer, J.P. Morgan Asset Management
- Peter Bonanno, General Counsel, J.P. Morgan Asset Management
- Mara Shreck, Head of Regulatory Affairs, Asset and Wealth Management, J.P. Morgan Chase
- Chris Cafiero, Regulatory Affairs, Asset and Wealth Management, J.P. Morgan Chase