

To: File No.: S7-26-22  
From: Office of Public Engagement  
Date: 2/10/23  
Re: Meeting with Investment Company Institute

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On February 9, 2023, Chair Gensler and SEC staff met with Investment Company Institute (ICI) to discuss matters related to the Open-End Fund Liquidity Risk Management Programs and Swing Pricing proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets
- YJ Fischer, Director of the Office of International Affairs
- Kathleen Hutchinson, Deputy Director of the Office of International Affairs
- Sai Rao, Trading and Markets Counsel

Representatives from ICI included:

- Eric Pan, President and Chief Executive Officer
- RJ Rondini, Director, Securities Operations
- Joanne Kane, Chief Industry Operations Officer
- Susan Olson, General Counsel
- Nhan Nguyen, Assistant General Counsel
- Nico Valderrama, Counsel