

To: File No.: S7-26-22
From: Office of Public Engagement
Date: 2/10/23
Re: Meeting with Vanguard

On February 9, 2023, Chair Gensler and SEC staff met with Vanguard to discuss matters related to the Open-End Fund Liquidity Risk Management Programs and Swing Pricing proposal.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy

Representatives from Vanguard included:

- Tim Buckley, Chief Executive Officer
- Rick Delfin, Global Head of Regulatory and Public Policy