

MEMORANDUM

June 2, 2023

TO: File No. S7-26-22

FROM: Holly Hunter-Ceci
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of SPARK Institute and American Benefits Council

On June 1, 2023, Commissioner Mark T. Uyeda and his counsel, Holly Hunter-Ceci, met virtually with the following:

- Lynn Dudley, Senior Vice President, Global Retirement & Compensation Policy, American Benefits Council
- Chris Gaston, Principal, Government Relations, Davis & Harman LLP
- Michael Hadley, Partner, Davis & Harman LLP
- Tim Rouse, Executive Director, SPARK Institute

Among other matters, the participants discussed their comment letters submitted in response to the open-end fund liquidity proposal.