

MEMORANDUM

To: File No. S7-26-22
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of the Investment Company Institute (ICI)

On 22 May, 2023 Commissioner Hester M. Peirce, and William Middlebrooks, Counsel to Commissioner Peirce, met with the following ICI members and representatives:

- Susan Olson, General Counsel, ICI
- Matthew Thornton, Associate General Counsel, ICI
- Sean Collins, Chief Economist, ICI
- Jeff Naylor, Acting Chief of Industry Operations, ICI
- Kelly O'Donnell, Director, Transfer Agency and Operations, ICI
- Joshua Ratner, Head of Americas Operations, PIMCO
- Paul Cellupica, EVP, Deputy General Counsel, PIMCO
- Jane Heinrichs, Senior Policy Advisor, Vanguard
- Dorothy Donohue, Deputy General Counsel, ICI
- Shelly Antoniewicz, Senior Director of Industry and Financial Analysis, ICI
- Charles Thomas, Global Head of Fixed Income Risk, Vanguard
- Patrick Castellani, Assistant Vice President, Investment Operations (Valuation/Liquidity), Capital Group
- Nelson Lee, Senior Counsel, Capital Group
- Joshua Palter, Vice President, MFS
- Brad Wilson, Senior Counsel, MFS
- Christopher Maher, Deputy Treasurer, Fidelity
- Donald Caiazza, Vice President and Associate General Counsel, Fidelity

Among the topics discussed was the Commission's rulemaking proposal on:

- *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting.*