

MEMORANDUM

TO: File No. S7-25-22

FROM: Jill S. Henderson, Senior Counsel
Division of Economic and Risk Analysis

RE: Meeting with AWS

DATE: November 15, 2023

On November 3, 2023, staff from the Division of Economic and Risk Analysis (DERA) and the Division of Investment Management (IM) met with representatives from AWS. The general topic discussed was the Commission's proposal on *Outsourcing by Investment Advisers*.

Attendees from the SEC included Jessica Wachter, Ross Askanazi, Robert Girouard, Hans Heidle, Jill Henderson, Joe Simmons, and Missaka Warusawitharana (DERA); and Pamela Ellis, Jennifer Porter, Mark Stewart, and Ted Uliassi (IM).

Attendees from AWS included Denyette DePierro, Eric Fish, Dan Berrios, Sireesh Pachava, Christina Davis, Russell Lewis, and Caitlyn McCarthy.