

## MEMORANDUM

TO: File No. S7-24-16

FROM: Sirimal R. Mukerjee  
*Policy Counsel*

RE: Meeting with representatives of the Council of Institutional Investors *et al.*

DATE: June 11, 2021

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On June 11, 2021, Chair Gary Gensler; Prashant Yerramalli, Chief of Staff, Heather Slavkin Corzo, Policy Director, Amanda Fischer, Senior Counselor, Keo Chea, Director of Public Engagement, Liz Bloom, Speechwriter, Angelica Annino, Director of Scheduling and Administration, Sirimal R. Mukerjee, Policy Counsel, Office of the Chair; Scott Schneider, Counselor to the Chair and Director of Public Affairs; Kevin Burris, Counselor to the Chair and Director of Legislative and Intergovernmental Affairs; Valian Afshar, Special Counsel, Division of Corporation Finance; Dorothy McCuaig, Office of the General Counsel; and Vladimir Ivanov, Assistant Director, Division of Economic and Risk Analysis, met with the following representatives:

- Amy Borrus, Executive Director, Council of Institutional Investors;
- Bruce T. Herbert, Chief Executive, AIF, Newground Social Investment;
- Brandon Rees, Deputy Director, Corporations and Capital Markets, AFL-CIO;
- Alex Martin, Senior Policy Analyst, AFR;
- Samantha Ross, Founder, AssuranceMark;
- James Andrus, Investment Manager - Financial Markets, CalPERS;
- Aeisha Mastagni, Portfolio Manager, CalSTRS;
- Karina Karakulova, Senior Manager, Capital Markets Policy, CFA Institute;
- Barbara Roper, Director of Investor Protection, Consumer Federation of America;
- Tejal Patel, Corporate Governance Director, CtW Investment Group;
- Josh Zinner, CEO, Interfaith Center on Corporate Responsibility;
- Scott Zdrzil, Senior Investment Officer, LACERA;
- John Hoepfner, Head of US Stewardship, Legal and General Investment Management America;
- Michael Garland, Assistant Comptroller, New York City Comptroller;
- Kyle Seeley, Corporate Governance Officer, NY State Common Retirement Fund;

- Gianna McCarthy, Director of Corporate Governance, NY State Common Retirement Fund;
- Patricia Gazda, Corporate Governance Officer, Ohio PERS;
- Colleen Orr, Senior Policy Analyst, Principles for Responsible Investment;
- Maureen O'Brien, Vice President, Corporate Governance, Segal Marco;
- Sanford Lewis;
- Jon Lukomnik, Owner, Sinclair Capital;
- Heidi Welsh, Executive Director, Sustainable Investments Institute;
- Donna Anderson, Global Head of Corporate Governance, T Rowe Price;
- Rick Alexander, Founder, The Shareholder Commons;
- Jonas D. Kron, Senior Vice President, Trillium Asset Management;
- Bryan McGannon, Director of Policy and Programs, US SIF; and
- Nell Minow, Vice Chair, ValueEdge Advisors.

Among other things, the participants discussed matters relating to the proposed rules relating to universal proxy cards.