

MEMORANDUM

TO: File no. S7-23-22

FROM: Heather Percival
Policy Counsel, Office of the Chair

RE: Meeting with FIA

DATE: September 7, 2023

On September 7, 2023, Jessica Wachter, Chief Economist, Sai Rao and Heather Percival, Policy Counsels for the Chair, met with the following representatives from FIA:

Jackie Mesa
Chief Operating Officer & Senior Vice President of Global Policy

Allison Lurton
General Counsel, Chief Legal Officer

Michael Sorrell
Deputy General Counsel, US

Kyle Glenn
Vice President, Government Relations (U.S.)

Ruth Arnould (President of the FIA Law & Compliance Division)
Managing Director & Associate General Counsel
Bank of America

The participants discussed the Commission's proposal for Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities.