

MEMORANDUM

TO: File no. S7-23-22

FROM: Heather Percival
Policy Counsel, Office of the Chair

RE: Meeting with DTCC

DATE: November 14, 2023

On November 14, 2023, Heather Slavkin-Corzo, Policy Director for the Chair, Sai Rao, Jay Krawitz, and Heather Percival, Policy Counsels for the Chair, met with the following representatives from DTCC:

Bari Wolfe
Laura Klimpel
Christian Sabella
Tim Hulse
Kevin Fedigan

The participants discussed the Commission's proposal for Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities.