

## MEMORANDUM

TO: File Nos. S7-23-22

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Representatives from IDTA

DATE: October 30, 2023

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On October 30, 2023, counsels to Commissioner Jaime Lizárraga, Brandon Hill, Parisa Haghshenas and Diem-Mi Lu, met with the following individuals:

- Jim Tabacchi, President & CEO, South Street Securities/CMET
- Michael Bodner, President, Curvature Securities LLC
- Richard Misiano, CEO, Buckler Securities LLC
- Lara Hernandez, Managing Director, Mirae Asset Securities Inc.
- Philip Vandermause, Managing Director, Aardvark Securities LLC.
- Michael Santoro, Managing Director, Loop Capital Holdings
- Jack Buttarazzi, Legislative Assistant, Steptoe & Johnson LLP
- Sophia Breggia, Associate, Steptoe & Johnson LLP
- Micah Green, Partner, Steptoe & Johnson LLP

Among the topics discussed was the Commission's proposed rule on Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities.