

**MEMORANDUM**

November 6, 2023

**TO:** File No. S7-23-22

**FROM:** Robert Fisher  
Office of Commissioner Mark T. Uyeda

**RE:** Meeting with Representatives of DTCC

On November 1, 2023, Commissioner Mark T. Uyeda's counsels, Robert Fisher and Jaime Marinara, and his policy adviser Taylor Asher, had a meeting with DTCC. The attendees met virtually. The DTCC representatives in attendance consisted of:

- Laura Klimpel – General Manager FICC, and Head of SIFMU Business Development
- Ann Shuman – General Counsel
- Christian Sabella – Managing Director, and Deputy General Counsel
- Ali Wolpert – Managing Director, Global Government Relations
- Connor Coleman – Associate Director, Global Government Relations

Among other matters, the participants discussed DTCC's comment letter filed in connection with the Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities.