

## MEMORANDUM

*November 2, 2023*

**TO:** File No. S7-23-22

**FROM:** Trace W. Rakestraw  
Senior Special Counsel, Chief Counsel's Office, Division of  
Investment Management

**RE:** Meeting with the Fixed Income Clearing Corporation (FICC)

On October 13, 2023, the SEC staff members listed below met with the following representatives of the FICC:

**SEC Representatives:**

Jessica Wachter (DERA)  
Burt Porter (DERA)  
Samim Ghamami (DERA)  
Jacob Krawitz (Chair's Office)  
Heather Percival (Chair's Office)  
Sai Rao (Chair's Office)  
Elizabeth Fitzgerald (TM)  
Jeffrey Mooney (TM)  
Trace Rakestraw (IM)  
Holly Miller (IM)  
Adele Murray (IM)  
Sean Hodgson (IM)  
Shayna Gilmore (IM)  
Matthew Williams (IM)  
Elena Stojic (IM)  
Kaitlin Bottock (IM)

**FICC Representatives:**

Laura Klimpel  
Christian Sabella  
Jacqueline Farinella

The participants discussed the Commission's proposal relating to the Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities.