

MEMORANDUM

TO: File No. S7-231-22

FROM: Elizabeth Fitzgerald
Office of Clearance and Settlement
Division of Trading and Markets

DATE: November 2, 2023

SUBJECT: Conference Call with Representatives from Citadel

On October 27, 2023, staff from the Division of Trading and Markets (“TM”), the Division of Economic and Risk Analysis (“DERA”), and the Office of the Chair participated in a conference call with Citadel representatives. Participating on the call for TM were Elizabeth Fitzgerald, Robert Zak, and John Prochilo. Participating on the call for DERA were Jessica Wachter and Burt Porter. Participating from the Office of the Chair was Heather Percival. Participating on the call for Citadel were Jonah Platt and Stephen Berger.

The general topics discussed included Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities.