

MEMORANDUM

TO: File No. S7-231-22

FROM: Elizabeth Fitzgerald
Office of Clearance and Settlement
Division of Trading and Markets

DATE: November 2, 2023

SUBJECT: Conference Call with Representatives from BlackRock

On June 1, 2023, staff from the Division of Trading and Markets (“TM”) and the Division of Economic and Risk Analysis (“DERA”) participated in a conference call with BlackRock representatives. Participating on the call for TM were Haoxiang Zhu, Jeff Mooney, Roy Cheruvelil, Thomas Eady, Justin Pica, Molly Kim, Elizabeth Fitzgerald, and John Prochilo. Participating on the call for DERA were Jessica Wachter, Samim Ghamami, Missaka Warusawitharana, and Burt Porter. Participating on the call for BlackRock were Steven Despres, Hubert De Jesus, Ben Tecmire, and Michael Winnike.

The general topics discussed included Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities.