

MEMORANDUM

TO: File No. S7-231-22

FROM: Elizabeth Fitzgerald
Office of Clearance and Settlement
Division of Trading and Markets

DATE: November 2, 2023

SUBJECT: Conference Call with Representatives from BlackRock

On July 27, 2023, staff from the Division of Trading and Markets (“TM”), the Division of Economic and Risk Analysis (“DERA”), and the Office of the Chair participated in a conference call with BlackRock representatives. Participating on the call for TM were Jeff Mooney, William Miller, Elizabeth Fitzgerald, and John Prochilo. Participating on the call for DERA were Jessica Wachter, Juan Echeverri, Samim Ghamami, Missaka Warusawitharana, and Andrew Glickman. Participating from the Office of the Chair were Heather Percival and Sai Rao. Participating on the call for BlackRock were Baixiu Jojo Yan, Hubert De Jesus, Hovik Minasyan, Ben Tecmire, and Michael Winnike.

The general topics discussed included Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities.