

MEMORANDUM

To: File No. S7-23-22
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Members and Staff of the Investment Company Institute (ICI)

On 31 October, 2023 Commissioner Hester M. Peirce and Sumeera Younis and William Middlebrooks, Counsels to Commissioner Peirce, met with the following ICI members and staff:

- Michele Kershaw, Vice President, Goldman Sachs Asset Management
- Peter Stone, Managing Director, Goldman Sachs Asset Management
- Liz Howard, Senior Legal Counsel, Fidelity
- Ryan Noonan, Head of Money Market Trading, Fidelity
- Renzo Iturrino, Head of Fixed Income Product Solutions, Fidelity
- Kevin Gaffney, Chief Investment Officer, Money Market funds, Fidelity
- Kristy Croshere, Vice President, Government Relations, Fidelity
- Sue Hill, Senior Vice President, Federated Hermes
- David Catalane, Vice President, Federated Hermes
- Scott Donnelly, U.S. Government Portfolio Manager, Multi-Sector and Liquidity, PGIM Fixed Income
- Robert Browne, Portfolio Manager, PGIM Fixed Income
- James Sonne, Vice President and Head of Government Affairs, PGIM
- Sarah Bessin, Deputy General Counsel, ICI
- Nhan Nguyen, Assistant General Counsel, ICI
- Georgia Bullitt, Willkie Farr Gallagher

Among the topics discussed were the Commission's rulemaking proposal on *Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities*.