

To: File Nos.: S7-02-22, S7-23-22, S7-30-22, S7-32-22  
From: Office of Public Engagement  
Date: 8/4/23  
Re: Virtual Meeting with Optiver

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On July 31, 2023, Chair Gensler and SEC staff met with Optiver to discuss the following proposals:

- Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities
- Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities, including repo clearing
- Regulation Best Execution, including its application
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders, including tick size structure

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets

Representatives from and on behalf of Optiver included:

- Jan Boomaars, Global CEO, Optiver
- Rutger Brinkhuis, CEO of US Operations, Optiver
- Liam Smith, Head of Market Structure, Optiver
- Jim Newsome, Founding Partner, Delta Strategy Group
- Scott Parsons, Founding Partner and Managing Partner, Delta Strategy Group