

MEMORANDUM

TO: Files No. S7-23-22

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with DTCC

DATE: June 14, 2023

On June 14, 2023, Commissioner Jaime Lizárraga, Parisa Haghshenas, Laura D’Allaird, Diem-Mi Lu, and Brandon Hill met with following representatives of DTCC:

Frank La Salla – President and CEO
Timothy Cuddihy – Group Chief Risk Officer
Ann Shuman – MD, General Counsel

Among the topics discussed was the Commission’s proposed rule on Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities Fund Advisers.