

MEMORANDUM

TO: File No. S7-23-22

FROM: Jill S. Henderson, Senior Counsel
Division of Economic and Risk Analysis

RE: Meeting with Citadel, WH Trading, DRW, Optiver, Jump Trading, Geneva Trading, and Hudson River

DATE: July 6, 2023

On June 29, 2023, staff from the Division of Economic and Risk Analysis (DERA) and the Division of Trading and Markets (TM) met with representatives from Citadel, WH Trading, DRW, Optiver, Jump Trading, Geneva Trading, and Hudson River. The general topic discussed was the Commission's proposal on Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities Fund Advisers.

Attendees from the SEC included Jessica Wachter, Burt Porter, Samim Ghamami, Andrew Glickman, Jill Henderson, Oliver Richard, Missaka Warusawitharana, and Charles Woodworth (DERA) and Haoxiang Zhu, Elizabeth Fitzgerald, Viktoria Baklanova, Roy Cheruvelil, William Miller, Jeff Mooney, Frank Pigott, and Robert Zak (TM).

Attendees from outside the SEC included Joanna Mallers (FIA), Jonah Platt (Citadel), Aaron Friedman (Citadel), Robert Laude (WH Trading), Mark Wendland (DRW), Liam Smith (Optiver), Graham McDannel (Optiver), Drew Haning (Jump Trading), Jeff Ramsey (Geneva Trading), and Adam Nunes (Hudson River).