

To: File No.: S7-23-22
From: Office of Public Engagement
Date: 6/26/23
Re: Meeting with Depository Trust and Clearing Corporation (DTCC)

On June 15, 2023, Chair Gensler and SEC staff met with the Depository Trust and Clearing Corporation (DTCC) to discuss, among other matters, the Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule proposal.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Jeffrey Mooney, Associate Director, Office of Clearance and Settlement, Division of Trading and Markets
- Heather Percival, Trading and Markets Counsel

Representatives from DTCC included:

- Frank LaSalla, President & Chief Executive Officer
- Tim Cuddihy, Managing Director & Group Chief Risk Officer