

MEMORANDUM

April 4, 2023

TO: File No. S7-23-22

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of SIFMA

On April 4, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with SIFMA. Commissioner Uyeda and the attendees met virtually. SIFMA representatives in attendance consisted of:

- Rob Toomey, Head of Capital Markets, Managing Director and Associate General Counsel, SIFMA
- Kenneth Bentsen, President and CEO, SIFMA
- Joe Seidel, Chief Operating Officer, SIFMA
- Bill Thum, Managing Director and Associate General Counsel, SIFMA AMG
- Shannon Hales, Citi
- Chip Shaffer, Citi
- Maria Douvas, Morgan Stanley
- Wade Dougherty, Goldman Sachs
- Larry Kornreich, Goldman Sachs
- Kyle Russ, Goldman Sachs
- Chris Hovatin, Goldman Sachs
- Mike Mitchell, Goldman Sachs
- Toks Oyebode, JPM
- John Schwartz, JPM
- Tyler Wellensieck, Barclays

Among other matters, the participants discussed SIFMA's comment letter filed in connection with the Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Securities.