

MEMORANDUM

TO: File No. S7-23-22

FROM: Jill S. Henderson, Senior Counsel
Division of Economic and Risk Analysis

RE: Meeting with the Independent Dealer and Trader Association (IDTA)

DATE: March 30, 2022

On March 28, 2023, staff from the Division of Economic and Risk Analysis (DERA), the Division of Trading and Markets (TM), and the Chair's Office met with officials from the Independent Dealer and Trader Association (IDTA). The general topics discussed included Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities Fund Advisers.

Attendees from the SEC included Jessica Wachter, Jill Henderson and Burt Porter (DERA); Haoxiang Zhu and Thomas Eady (TM); and Heather Percival (Chair's Office).

Attendees from the Independent Dealer and Trader Association (IDTA) included Micah Green and Sophia Breggia (Stephoe & Johnson); James Tabacchi (South Street Securities, LLC), Lara Hernandez (Mirae Asset Securities); Brent Posner (Marex), and Scott Skyrn (Curvature Securities).