

MEMORANDUM

TO: File Nos. S7-23-22

FROM: Elizabeth L. Fitzgerald, Assistant Director, Division of Trading & Markets

RE: Meeting with Managed Funds Association

DATE: March 29, 2023

On March 1, 2023, staff from the Division of Trading and Markets (TM) met with members of the Managed Funds Association. The general topics discussed included Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities Fund Advisers.

Attendees from TM included: Haoxiang Zhu, Andrea Orr, Jeffrey Mooney, Thomas McGowan, Randall Roy, Sharon Park, Roni Bergoffen, and Elizabeth Fitzgerald.

Attendees from MFA included: Matthew Daigler, Vice President and Senior Counsel, MFA; Jennifer Han, Chief Counsel and Head of Global Regulatory Affairs, MFA; Aaron Friedman, Deputy U.S. Head of Government and Regulatory Policy, Citadel; Matt Lillvis, Deputy General Counsel, Millennium Management LLC; and Michael Winnike, Director, Market Structure, Blackrock.