

MEMORANDUM

To: File No.: S7-23-22
From: The Office of the Chair, U.S. Securities and Exchange Commission
Date: November 4, 2022
Re: Virtual Meeting with the G-30

On November 4, 2022, Chair Gensler and SEC staff met with members of the G-30 to discuss their concerns related to Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities Fund Advisers.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Senior Counselor
- Jessica Wachter, Chief Economist, Director of Division of Economic and Risk Analysis
- Haoxiang Zhu, Director, Division of Trading and Markets
- Keo Chea, Director of Public Engagement
- Liz Bloom, Speechwriter

Representatives from G-30 included:

- Tim Geithner, President, Warburg Pincus
- Darrell Duffie, Adams Distinguished Professor of Management and Professor of Finance, Stanford Graduate School of Business
- Jeremy Stein, Moise Y. Safra Professor of Economics, Harvard
- Patrick Parkinson, Senior Fellow, Bank Policy Institute