

MEMORANDUM

May 24, 2023

TO: File Numbers S7-23-22
FROM: Jacob D. Krawitz
Policy Counsel, Office of the Chair
RE: Meeting with PIMCO

On May 24, 2023, the SEC staff members listed below met with the following representatives of PIMCO:

SEC Participants:

Heather Slavkin Corzo (Office of the Chair)
Jay Krawitz (Office of the Chair)
Heather Percival (Office of the Chair)
Jessica Wachter (DERA)
Haoxiang Zhu (TM)
Amy Edwards (DERA)
Trace Rakestraw (IM)
Sarah ten Siethoff (IM)
Elizabeth Fitzgerald (TM)
Holly Miller (IM)
Zeena Abdul-Rahman (IM)
Adele Kittredge Murray (IM)
Kaitlin Bottock (IM)
Burt Porter (DERA)
Juan Echeverri (DERA)
Samim Ghamami (DERA)

PIMCO Participants:

Marcellus Fisher (Managing Director and Head of U.S. Trade Support)
Jerome Schneider (Managing Director and Portfolio Manager)
William Martinez (Senior Vice-President and Portfolio Manager)
Timothy Crowley (Senior Vice-President and Portfolio Manager)
Jerry Woytash (Vice-President and Portfolio Manager)
Blair Greber-Raines (Senior Vice-President and Counsel, Trading and Markets)
Libby Cantrill (Managing Director and Head of Public Policy)
Paul Cellupica (Executive Vice-President and Deputy General Counsel, U.S. Regulatory)

The participants discussed the Commission's proposal relating to Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities.