

MEMORANDUM

TO: File Nos. S7-23-22

FROM: Elizabeth L. Fitzgerald, Assistant Director, Division of Trading & Markets

RE: Meeting with London Stock Exchange Group (“LSEG”)

DATE: May 11, 2023

On May 3, 2023, staff from the Division of Trading and Markets (TM) and the Division of Economic and Risk Analysis (DERA) met with staff from Bank of America. The general topics discussed included Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule with Respect to U.S. Treasury Securities Fund Advisers.

Attendees from TM included: Haoxiang Zhu, Jeffrey Mooney, Roy Cheruvelil, Viktoria Baklanova, Robert Zak, John Procilo, Sharon Park, and Elizabeth Fitzgerald. Attendees from DERA included Burt Porter.

Attendees from LSEG included: Julian Oliver (Head of Financial Regulatory Change); Claire O’Dea (Director of Government Relations and Regulatory Strategy Americas); Tony Baldwin (Head of Repos and Collateral and Liquidity Management); Charles Barthelemy (Director of Global Head of Operations); and Teresa Leitao (Head of Market Risk).