

MEMORANDUM

TO: File No. S7-23-15

FROM: Marsha Dixon
Special Counsel
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: March 2, 2018

SUBJECT: Meeting with Representatives of Morgan Stanley

On February 26, 2018, representatives from the U.S. Securities and Exchange Commission (“SEC”) met with representatives from Morgan Stanley. The SEC representatives were Brett Redfearn, David Shillman, Theodore Venuti, Tyler Raimo, Jennifer Dodd, Steve Kuan, Derek James, Marsha Dixon, Amir Katz, Matthew Cursio, Andrew Sherman, Megan Mitchell, and Emerald Greywoode from the Division of Trading and Markets.

The Morgan Stanley representatives were Sapna Patel, Bill Neuberger, Paul O’Donnell, Marc Rosenthal, and Joyce Tavoulareas. The participants in the meeting discussed, among other things, regulation of NMS Stock Alternative Trading Systems [Release No. 34-76474] and regulation of Disclosure of Order Handling Information [Release No. 34-78309].

Attachment