

To: File No.: S7-22-22  
From: Office of Public Engagement  
Date: 2/16/23  
Re: Meeting with various investor and advocacy organizations

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On February 10, 2023, Chair Gensler and SEC staff met with various investor and advocacy organizations to discuss, among other topics, matters related to the SEC/CFTC proposed amendments to Form PF.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy
- Corey Klemmer, Corporation Finance Counsel
- Mika Morse, Climate Counsel
- Jay Krawitz, Investment Management Counsel
- Heather Percival, Trading and Markets Counsel
- Sai Rao, Trading and Markets Counsel
- Corey Frayer, Senior Advisor
- Barbara Roper, Senior Advisor

Representatives from State Street included:

- Brandon Rees, Deputy Director, Office of Investment, AFL-CIO
- Andrew Park, Senior Policy Analyst, Hedge Funds and Private Equity, Americans for Financial Reform (AFR)
- Natalia Renta, Senior Policy Counsel for Corporate Governance and Power, AFR
- Stephen Hall, Legal Director and Securities Specialist, Better Markets
- Alexandra Thornton, Senior Director of Tax Policy for Economic Policy, Center for American Progress
- Steven Rothstein, Managing Director, Ceres Accelerator for Sustainable Capital Markets
- Jake Rascoff, Director, Climate Financial Regulation, Ceres Accelerator for Sustainable Capital Markets
- Dan Mauer, Director of Government Affairs, Communications Workers of America (CWA)
- Nell Geiser, Research Director, CWA
- Chris Nagy, Co-Founder and Director, HMA
- Josh Zinner, Chief Executive Officer, Interfaith Center on Corporate Responsibility (ICCR)
- Lisa Gilbert, Executive Vice President, Public Citizen
- Sanford Lewis, Director, Shareholder Rights Group