

MEMORANDUM

To: File Nos. S7-26-22; S7-25-22; S7-22-21; S7-16-22; S7-04-23
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with members of the ICI Executive Committee and ICI staff representatives

On 14 March, 2023 Commissioner Hester M. Peirce, and William Middlebrooks, Counsel to Commissioner Peirce, met with the following ICI Executive Committee members and ICI staff:

Kathleen T. Barr	William Blair Funds & Professionally Managed Portfolios
Mortimer J. Buckley	Vanguard
Thomas E. Faust Jr.	Morgan Stanley Investment Management
George C. W. Gatch	J.P. Morgan Asset Management
Gregory E. Johnson	Franklin Resources
James L. Johnson Jr.	Fidelity Investments
Joanna F. Jonsson	Capital Group
Shawn Lytle	Macquarie Asset Management – Public Investments
James A. McNamara	Goldman Sachs Mutual Funds
Stuart S. Parker	PGIM Investments
Salim Ramji	BlackRock
Andrew Schlossberg	Invesco
Douglas B. Sieg	Lord, Abbett & Co. LLC
Peter Strelow	PIMCO
George H. Walker	Neuberger Berman
<u>ICI STAFF</u>	
Eric J. Pan	President & CEO
Donald C. Auerbach	Chief Operating Officer
Sean Collins	Chief Economist

Dorothy Donohue	Deputy General Counsel-Securities Regulation
John G. Emling	Chief Government Affairs Officer
Rachel H. Graham	Associate General Counsel & Corporate Secretary
Joanne Kane	Chief Industry Operations Officer
Thomas T. Kim	Managing Director, Independent Directors Council
Susan M. Olson	General Counsel
Michael Pedroni	Chief Global Affairs Officer
Erica Elliott Richardson	Chief Public Communications Officer
Tracey Wingate	Chief of Staff

Among the topics discussed were the Commission's rulemaking proposals on:

- *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting*
- *Outsourcing by Investment Advisers*
- *Money Market Fund Reforms*
- *Investment Company Names*
- *Safeguarding Advisory Client Assets*