

MEMORANDUM

To: File No. S7-22-19

From: Elizabeth Blase and Christina Thomas, Counsels to Commissioner Elad L. Roisman

Re: Meeting with the TIAA/Nuveen (“TIAA”)

On May 14, 2020, Christina Thomas and Elizabeth Blase met with the following representatives of TIAA:

- Sam Hodas – Senior Director; and
- Peter Reali – Senior Director, Responsible Investing.

Among the topics discussed were the Commission’s proposed amendments to the exemptions from the proxy rules, under the Securities Exchange Act of 1934, for proxy voting advice.