

MEMORANDUM

To: File No. S7-22-19

From: Coy Garrison, Counsel to Commissioner Hester M. Peirce

Re: Meeting with representatives of the CFA Institute

On May 8, 2020, Commissioner Hester M. Peirce and Coy Garrison met with Kurt Schacht and Jim Allen of the CFA Institute. The general topic discussed was the Commission's proposed rules on Amendments to Exemptions from the Proxy Rules for Proxy Voting Advice.