

MEMORANDUM

To: File No. S7-22-19

From: Elizabeth Blase and Christina Thomas, Counsels to Commissioner Elad L. Roisman

Re: Meeting with Investment Company Institute (“ICI”)

On May 4, 2020, Christina Thomas and Elizabeth Blase met with the following representatives of ICI:

- Dorothy Donohue, Deputy General Counsel-Securities Regulation;
- Matt Thornton, Assistant Counsel; and
- Joanne Kane, Director of Operations and Transfer Agency.

Among the topics discussed were the Commission’s proposed amendments to the exemptions from the proxy rules, under the Securities Exchange Act of 1934, for proxy voting advice.