

MEMORANDUM

To: File No. S7-22-19 and S7-23-19

From: Sebastian Gomez, Senior Advisor to Chairman Jay Clayton

Re: Meeting with US SIF Board Members

Date: March 5, 2020

On March 2, 2020, Sean Memon (Chief of Staff to Chairman Clayton) and Sebastian Gomez (Senior Advisor to Chairman Clayton) met with:

- Jonas Kron, SVP, Trillium Asset Management
- Kim Gluck, Managing Director, Boston Trust Walden
- Michael Kramer, Managing Partner, Natural Investments LLC
- Lisa Woll, CEO, US SIF
- Bryan McGannon, Director of Policy and Programs, US SIF

The meeting participants discussed, among other things, the SEC's proposed amendments to: (1) exemptions from the proxy rules for proxy voting advice, and (2) procedural requirements and resubmission thresholds under Exchange Act Rule 14a-8.