

## MEMORANDUM

**To:** File Nos. S7-22-19 and S7-23-19

**From:** Elizabeth Blase and Christina Thomas (Counsels to Commissioner Elad L. Roisman)

**Re:** Meeting with the Executive Committee of the SEC's Investor Advisory Committee ("IAC-Ex")

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On February 26, 2020, Commissioner Roisman, Christina Thomas, and Elizabeth Blase met with the following representatives of the IAC-Ex:

- Anne Sheehan, Chairman;
- Craig Goettsch, Secretary;
- Barbara Roper; and
- Paul Mahoney.

Among the topics discussed were the Commission's proposed amendments to rules under the Securities Exchange Act of 1934 relating to shareholder proposals and the exemptions from the proxy rules for proxy voting advice.