

MEMORANDUM

To: File No. S7-23-19
File No. S7-22-19

From: Frank A. Buda
Counsel to Commissioner Allison Herren Lee
U.S. Securities and Exchange Commission

Date: February 4, 2020

Re: Meeting with Representatives from US SIF and Veris Wealth Partners

On January 28, 2020, Commissioner Lee's counsels, Katherine Kelly and Frank Buda, met with Bryan McGannon of US SIF, as well as the following representatives of Veris Wealth Partners: Patricia Farrar-Rivas, Pat Addeo, and Richard Chen. A number of Veris Wealth Partners' investor clients also participated in the meeting. Among the topics discussed were the Commission's proposed Procedural Requirements and Resubmission Thresholds Under Exchange Act Rule 14a-8 and Amendments to Exemptions from the Proxy Rules for Proxy Voting Advice.