

## MEMORANDUM

**To:** File Nos. S7-22-19, S7-23-19, S7-21-19

**From:** Elizabeth Blase, Counsel to Commissioner Elad L. Roisman

**Re:** Meeting with the Alternative Investment Management Association (“AIMA”)

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On February 5, 2020, Commissioner Elad L. Roisman and Elizabeth Blase met with the following representatives of AIMA:

- Simon Lorne, Chairman;
- Jiri Krol, Deputy CEO & Global Head of Regulatory Affairs; and
- Richard Miller, K&L Gates.

Among the topics discussed were: the Commission’s proposed amendments to rules under the Securities Exchange Act of 1934 relating to shareholder proposals and the exemptions from the proxy rules for proxy voting advice, as well as the Commission’s proposed amendments to the rules under the Investment Advisers Act of 1940 relating to investment adviser advertisements and compensation for solicitation.