

MEMORANDUM

TO: File Nos. S7-22-19 and S7-23-19

FROM: Katherine Kelly

RE: Meeting with Council of Institutional Investors

DATE: November 14, 2019

On November 7, 2019, Commissioner Allison Herren Lee, Frank Buda, and Katherine Kelly met with representatives from the Council of Institutional Investors (CII), including the following attendees:

- Anne Simpson – CalPERS,
- Roy Katzovicz – Saddle Point Group
- Brandon Rees – AFL-CIO
- Elizabeth Lance - T. Rowe Price,
- Gianna McCarthy/Kyle Seeley – NY State
- Patti Brammer - OPERS
- Jeff Mahoney – CII
- Amy Borrus – CII
- Connor Garvey - CII
- Scott Zdrazil – Lacera

Among the topics discussed were the the SEC's proxy voting proposals: Amendments to Exemptions from the Proxy Rules for Proxy Voting Advice and Procedural Requirements and Resubmission Thresholds under Exchange Act Rule 14a-8.