

MEMORANDUM

To: File No. S7-21-19

From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of the Managed Funds Association (MFA)

On 17 August, 2020, Commissioner Hester M. Peirce and William Middlebrooks, Counsel to Commissioner Peirce, along with Elizabeth Blase, Counsel to Commissioner Elad Roisman, met with representatives from the MFA, including:

- Jennifer Han
- Ash Williams, Executive Director and CIO of Florida State Board of Administration, and
- Charles Van Vleet, Assistant Treasurer and CIO of Pension Investments at Textron Inc.
- Alison Romano
- Benjamin Allensworth

Among the topics discussed were the Commission's rulemaking proposal on the *Investment Adviser Advertisements; Compensation for Solicitations*, set forth in Release No. IA-5407 (November 4, 2019).