

MEMORANDUM

TO: File Nos. S7-21-09, S7-03-10

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: January 29, 2010

SUBJECT: Meeting with Representatives of Barclays Capital

On January 22, 2010, Commissioner Aguilar, along with Zachary May and Michael E. Coe, Counsels to the Commissioner, met with Barclays Capital representatives Jerry del Missier, President, and Patrick Durkin, Managing Director. The discussion included, among other things, the Commission's proposed rules regarding flash orders and risk management controls for broker-dealers with market access.