

MEMORANDUM

TO: File No. S7-2024-02

FROM: Herman Brown, Senior Counsel, Division of Investment Management

RE: Meeting with American Investment Council

DATE: November 4, 2024

On November 4, 2024, staff of the U.S. Securities and Exchange Commission's (the "Commission") Division of Investment Management ("IM") and Division of Economic and Risk Analysis ("DERA") and staff of the U.S. Department of Treasury's ("Treasury") Office of Terrorist Financing and Financial Crimes ("TFFC") held a virtual meeting with representatives from American Investment Council ("AIC"). Participants included Jennifer Porter (Assistant Director, IM), Robert McDavid Holowka (Branch Chief, IM), Adele Murray (Private Funds Attorney Fellow, IM), Herman Brown (Senior Counsel, IM), Daniel Levine (Senior Counsel, IM), Justin Vitanza (Financial Economist, DERA), Samuel Barnett (Policy Advisor, TFFC), as well as the following representatives from AIC:

- Rebekah Goshorn Jurata, General Counsel;
- Shelby Begany Telle, Associate General Counsel;
- James Guiliano, Director of Government Affairs; and
- Abram J. Ellis, Outside Legal Counsel at Simpson Thacher & Bartlett LLP.

Among the topics discussed were the Commission and Treasury's proposed rule on *Customer Identification Programs for Registered Investment Advisers and Exempt Reporting Advisers* (File No. S7-2024-02).