
M E M O R A N D U M

To : File No. S7-20-21

Date : February 7, 2022

From : N. Sean Harrison
Division of Corporation Finance

Re : Conference Call with Office of New York City Comptroller

On February 4, 2022, Sean Harrison and Felicia Kung participated in a conference call with Michael Garland, Jennifer Conovitz, and Narita Yumi of the Office of New York City Comptroller. The topics discussed concerned the Commission's proposing rule release *Rule 10b5-1 and Insider Trading* (Release No. 33-11013).