

MEMORANDUM

TO: File Number S7-19-21

FROM: Timothy C. Fox, Branch Chief
Office of Financial Responsibility, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: June 2, 2022

RE: Webex Meeting with AWS Representatives

On June 2, 2022, Commission staff participated in a meeting conducted by Webex with representatives Amazon Web Services (AWS) staff to discuss the proposed amendments to the Electronic Recordkeeping Requirements for Broker-Dealers, Security-Based Swap Dealers, and Major Security-Based Swap Participants (Release No. 34-93614).

Commission staff in the meeting included Michael Macchiaroli, Thomas McGowan, Randall Roy, Raymond Lombardo, Joseph Levinson, Diana Knyazeva, and Timothy Fox.

AWS representatives in the meeting included Blair Anderson, Josh Hill, Russell Lewis, Denyette DePiero, and Kylin McCardle.