

## MEMORANDUM

**TO:** File Number S7-19-21

**FROM:** Timothy C. Fox  
Branch Chief  
Office of Financial Responsibility, Division of Trading and Markets  
U.S. Securities and Exchange Commission

**DATE:** April 26, 2022

**RE:** Webex Meeting with AWS Representatives

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On February 9, 2022 Commission staff participated in a meeting conducted by Webex with representatives from Amazon Web Services (“AWS”) to discuss the proposed amendments to the Electronic Recordkeeping Requirements for Broker-Dealers, Security-Based Swap Dealers, and Major Security-Based Swap Participants (Release No. 34-93614).

Commission staff in the meeting included Michael Macchiaroli, Thomas McGowan, Randall Roy, Raymond Lombardo, Joseph Levinson, and Timothy Fox

Blair Anderson, Kylin McCardle, Russell Lewis and Josh Hill from AWS participated in the meeting.

Shortly following the meeting, AWS staff provided Commission staff with the links and descriptions provided below:

- AWS Customer Agreement: <https://aws.amazon.com/agreement/>
  - Section 3 (Security and Data Privacy)
  - Section 4 (Your Responsibility)
  - Section 7.3(b) (Post-Termination)
  - Section 8.1 (Your Content)
- Data Privacy FAQ: <https://aws.amazon.com/compliance/data-privacy-faq/>
  - See “Who owns customer content”, “What is my role in securing my content?”, and “What steps does AWS take to protect my privacy?”
- Law enforcement information requests: <https://www.amazon.com/gp/help/customer/display.html?nodeId=GYSDRGWQ2C2CRYEF>
- Description of redundancy Regions / AZs: <https://infrastructure.aws>
  - See Regions and Availability Zones
- Amazon S3 FAQs: <https://aws.amazon.com/s3/faqs/>
  - See the “Durability & Data Protection” section
- Amazon S3 Pricing: <https://aws.amazon.com/s3/pricing/?p=pm&c=s3&z=4>