

MEMORANDUM

TO: File Number S7-19-21

FROM: Timothy C. Fox
Branch Chief
Office of Financial Responsibility, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: April 26, 2022

RE: Webex Meeting with Microsoft Representatives

On February 15, 2022 Commission staff participated in a meeting conducted by Webex with representatives from Microsoft Corporation (“Microsoft”) to discuss the proposed amendments to the Electronic Recordkeeping Requirements for Broker-Dealers, Security-Based Swap Dealers, and Major Security-Based Swap Participants (Release No. 34-93614).

Commission staff in the meeting included Michael Macchiaroli, Thomas McGowan, Randall Roy, Raymond Lombardo, Joseph Levinson, Timothy Fox, Carrie O’Brien and Stacy Bogert.

Curtis Turnell from Microsoft participated in the meeting.