

MEMORANDUM

TO: File

FROM: Yue Ding
Division of Trading and Markets

RE: Meeting with representatives from the National Association of Energy Service Companies, Chevron Energy Solutions, Bingham McCutchen LLP, and the Municipal Securities Rulemaking Board

DATE: November 19, 2010

On November 19, 2010, staff from the Division of Trading and Markets met with representatives from the National Association of Energy Service Companies (“NAESCO”), Chevron Energy Solutions, Bingham McCutchen LLP, and the Municipal Securities Rulemaking Board. The participants from the Division of Trading and Markets were: Martha Haines, Victoria Crane, Mary Simpkins, Dave Sanchez, Daniel Gien, and Yue Ding. The National Association of Energy Service Companies representatives were Terry Singer and John Dixon. The Chevron Energy Solutions representatives were John Dotson and Orli Welner. The Bingham McCutchen LLP representatives were Hardy Callcott and Amy Kroll. The Municipal Securities Rulemaking Board representative was Karen Du Brul.

The participants discussed NAESCO’s comment letter in response to the interim final temporary rule for the temporary registration of municipal advisors.